

**INFORMATION DISCLOSURE
STATEMENT**

Applicants	Geeng-Chuan Chern.
Application No.	09/916,618
Filed:	July 26, 2001
For:	Semiconductor Memory Array Of Floating Gate Memory Cells With Vertical Control Gate Sidewalls And Insulation Spacers
Group Art Unit:	2814
Examiner:	Howard Weiss
Attorney Docket No.:	2102397-911600

Mail Stop 313(c)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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OCT 27 2003

OFFICE OF PETITIONS

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- | | | |
|-----|-------------------------------------|---|
| (a) | <input checked="" type="checkbox"/> | accompanies a Request for Continued Examination (RCE) submitted herewith. |
| (b) | <input type="checkbox"/> | is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR |
| (c) | <input type="checkbox"/> | after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR |
| (d) | <input type="checkbox"/> | is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR |
| (e) | <input type="checkbox"/> | is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement. |

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- | | | |
|-----|--------------------------|---|
| (f) | <input type="checkbox"/> | Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or |
| (g) | <input type="checkbox"/> | No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. |

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It is respectfully requested that each of the references shown on the attached Form PTO/SB/08a be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Date: October 21, 2003

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

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Kathleen LaBrie

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Substitute for form 1449A/PTO

Complete if Known

Application Number 09/916,618

Filing Date July 26, 2001

First Named Inventor Geeng-Chuan Chern

Art Unit 2814

Examiner Name Howard Weiss

Attorney Docket Number 2102397-911600

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,329,685	12-11-2001	Lee	
		US- 5,293,337	03-08-1994	Aritome et al.	
		US- 6,157,575	12-05-2000	Choi	
		US- 6,252,799	06-26-2001	Liu et al.	
		US- 6,563,167	05-13-2003	Chern	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.